

# ANNEXURE I

## Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity: AAKASH EXPLARATION AND SERVICES LIMITED
2. Quarter ending: 30<sup>TH</sup> SEPTEMBER, 2020

I. Composition of Board of Directors												
Title (Mr./Ms)	Name of the Director	PAN & DIN	Category (Chairperson /Executive/ Non-Executive/independent/ Nominee) &	Initial Date of Appointment	Date of Reappointment	Date of Cessation	Tenure	Date of Birth	No of Directors hip in listed entities including this listed entity  [In reference to Regulation 17A(1)]	No of Independent Directorsh ip in listed entities' including this listed entity [In reference to Regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity  (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Vipul N. Haria	AAAPH2880F & 01690638	Chairperson – Executive	17-01-2007	14-12-2017	N.A	N.A	18-01-1970	1	Nil	Stakeholder Committee – 1	Nil
Mr.	Hemang N. Haria	ABCPH4907Q & 01690627	Executive	17-01-2007	08-02-2020	N.A	N.A	26-02-1972	1	Nil	Audit Committee-1	Nil
Mr.	Krunal P. Haria	ABOPH0588B & 01566988	Executive	17-01-2007	08-02-2020	N.A.	N.A	26-05-1982	1	Nil	Nil	Nil

Mrs.	Ami N. Shah	AOYPP4573 M & 08047071	Independent - Non - Executive	14-12-2017	N.A.	N.A.	60 months	30-04-1984	1	Nil	Nil	Nil
Mr.	Piyush V. Savla	AUFPS6495Q & 08047095	Independent - Non - Executive	14-12-2017	N.A.	N.A.	60 months	30-10-1978	1	Nil	Audit Committee – 1 Stakeholder Committee – 1	Nil
Mr.	Divyang R. Patel	AHNPP0577H & 08048091	Independent - Non - Executive	14-12-2017	N.A.	N.A.	60 months	28-03-1968	1	Nil	Audit Committee – 1 Stakeholder Committee – 1	Audit Committee – 1 Stakeholder Committee – 1

Whether Regular Chairperson Appointed – Yes

Whether Chairperson is Related Managing Director or CEO – Managing Director is appointed as Chairperson

## II. Composition of Committees

<i>Name of Committee</i>	<i>Whether Regular Chairman is Appointed</i>	<i>Name of Committee members</i>	<i>Category (Chairperson/Executive/ Non-Executive/independent/Nominee) \$</i>
1. Audit Committee	Yes	1. Mr. Divyang Patel-Chairman 2. Mr. Piyush Savla-Member 3. Mr. Hemang Haria-Member	Independent – Non-Executive Independent – Non-Executive Executive
2. Nomination & Remuneration Committee	Yes	1. Mr. Piyush Savla-Chairman 2. Mrs. Ami Shah-Member 3. Mr. Divyang Patel-Member	Independent – Non-Executive Independent – Non-Executive Independent – Non-Executive
3. Risk Management Committee (if applicable)	Not Applicable		
4. Stakeholders Relationship Committee.	Yes	1. Mr. Divyang Patel-Chairman 2. Mr. Piyush Savla-Member 3. Mr. Vipul Haria-Member	Independent – Non-Executive Independent – Non-Executive Chairman - Executive

<b>III. Meeting of Board of Directors</b>					
<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Date(s) of Meeting (if any) in the relevant quarter</i>	<i>Whether Requirement of quorum is met</i>	<i>Number of Directors Present</i>	<i>Number of Independent Directors Present</i>	<i>Maximum Gap Between any two consecutive (in number of days)</i>
27/06/2020	28/08/2020	Yes	06	03	61

<b>IV. Meeting of Committees</b>					
<i>Date(s) of meeting of the Committee in the Previous Quarter</i>	<i>Date(s) of Meeting of committee in the relevant quarter</i>	<i>Whether Requirement of quorum is met</i>	<i>Number of Directors Present</i>	<i>Number of Independent Directors Present</i>	<i>Maximum Gap Between any two consecutive (in number of days)</i>
<b>Audit Committee/</b>					
27/06/2020	28/08/2020	Yes	03	02	61

<b>V. Related Party Transactions</b>	
<b>Subject</b>	<b>Compliance Status (Yes/No/NA)</b>
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA

<b>VI. Affirmations</b>
<p>1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015. - Yes</p> <p>2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 - Yes</p> <p>a. Audit Committee</p> <p>b. Nomination &amp; Remuneration Committee</p> <p>c. Stakeholders Relationship Committee</p> <p>d. <del>Risk management committee (applicable to the top 100 listed entities)</del></p> <p>3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - Yes</p> <p>4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. - Yes</p> <p>5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here. - Yes</p>
<b>Name and Designation</b>  <b>Nisha Agrawal</b>  <b>Company Secretary and Compliance Officer</b>  <b>Date: 12/10/2020</b>  <b>Place: Ahmedabad</b>

# ANNEXURE III

**Format to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year**

<b>I Affirmations</b>		
<b>Broad heading</b>	<b>Regulation Number</b>	<b>Compliance status (Yes/No/NA)</b> <small>refer note below</small>
<i>*Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website</i>	46(2)	Yes
<i>Presence of Chairperson of Audit Committee at the Annual General Meeting</i>	18(1)(d)	Yes
<i>Presence of Chairperson of the nomination and remuneration committee at the annual general meeting</i>	19(3)	Yes
<i>Whether "Corporate Governance Report" disclosed in Annual Report</i>	34(3) read with para C of Schedule V	NA
<b>Note</b> 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. 2 If status is "No" details of non-compliance may be given here. 3 If the Listed Entity would like to provide any other information the same may be indicated here.		
<b>Nisha Agrawal</b>  <b>Company Secretary and Compliance Officer</b>		

\*Since the Company was listed on SME platform, hence Corporate Governance report and Business responsibility report was not displayed on website of the Company